

## Moonstone Information Refinery

The regulatory examinations that they will make available to the financial services industry are as follows:

	Moonstone	FPI	Leselo / IOB	SAIFM
KI Level 1: Cat III	x	x	x	x
KI Level 1: Cat II & IIA	x	x	x	x
KI Level 1: Cat I & IV	x	x	x	x
KI Level 1: Cat 1.1 & 1.19	x	x	x	x
Representatives Level I	x	x	x	x
LONG-TERM INSURANCE CAT B	x	x		
LONG-TERM INSURANCE CAT C	x	x		
COLLECTIVE INVESTMENT SCHEMES	x	x		
RETAIL PENSION BENEFITS	x	x		
HEALTH CARE BENEFITS	x	x		
SHORT-TERM: PERSONAL LINES	x		x	
SHORT-TERM : COMMERCIAL LINES	x		x	
PENSION FUND BENEFITS	x		x	
DEPOSITS	x		x	
S & I: SHARES	x			x
S & I: MONEY MARKET	x			x
S & I: DEBENTURES	x			x
S & I: WARRANTS	x			x
S & I: BONDS	x			x
S & I: DERIVATIVES	x			x
FOREX INVESTMENT BUSINESS				x

**Note: The matrix above can change where examination bodies apply for the delivery of additional examinations, subject to the approval by the Registrar.**

### **Availability of the regulatory examinations**

It is anticipated that the regulatory examinations will be made available to the industry during 2010. Specific dates and details cannot be provided at this stage, but we urge you to please monitor the FSB website for new developments in this regard. All information regarding these regulatory examinations will be available on the FSB website as soon as the information becomes available and can be communicated.

These are exciting developments within the financial services industry! We therefore will make every attempt to keep the industry informed as much as possible, and that you, as an active member of the industry, will participate in the process of keeping abreast of all new developments as they happen, by monitoring the FSB website and the relevant Examination Body websites on a regular basis.